

## SNG - EXECUTIVE SUMMARY

INVESTIGATION RESULTS - BACKGROUND SEARCHES	
SUMMARY OF MAJOR FINDINGS & CONCLUSIONS	RECOMMENDATIONS
<ul style="list-style-type: none"><li>✘ There is general compliance with industry specific regulations and professional bodies by the SNG Consortium. However, most documents related to SNG and not Sekela Xabiso.</li><li>✘ SNG and Sekela Xabiso are VAT registered and their tax affairs were in order at the time the tender was submitted.</li><li>✘ SNG's letter of good standing is valid.</li><li>✘ SNG and Sekela Xabiso's BEE certificates were valid.</li><li>✘ Members of the SNG Consortium stated that they were part of SAICA but current verification indicate they are not (<i>As confirmed by SAICA</i>).</li></ul>	<p>No recommendations</p>

## INVESTIGATION RESULTS - PROCUREMENT PROCESSES

SUMMARY OF MAJOR FINDINGS & CONCLUSIONS	RECOMMENDATIONS
<ul style="list-style-type: none"><li>✘ The SNG Consortium was awarded this tender after an evaluation process was followed that was fair, transparent, equitable, cost effective and competitive.</li><li>✘ There were eight bids evaluated and SNG Consortium's bid price was the lowest.</li><li>✘ Price submitted by SNG Consortium is different from amount awarded. No information provided for the variance.</li><li>✘ Lack of proper record keeping in SCM.</li></ul>	<ul style="list-style-type: none"><li>✘ Contract Administration did not comply with the SCM policy by keeping all procurement related records of contracts in safe custody to prevent damage, destruction or unauthorised use or removal</li><li>✘ The SCM did not maintain the records to ensure the existence of an audit trail and disciplinary action is recommended.</li><li>✘ PRASA to implement and enforce a document retention and archiving policy relating to SCM information, which is in line with NT Regulations prescripts</li></ul>

## INVESTIGATION RESULTS - PAYMENT VERIFICATION

SUMMARY OF MAJOR FINDINGS & CONCLUSIONS	RECOMMENDATIONS
<ul style="list-style-type: none"><li>✘ Appears to be over spending in the second year. However, over the three-year period the total amount invoiced and paid was in line with the budgets that were estimated.</li><li>✘ Poor record keeping by the finance department.</li></ul>	<ul style="list-style-type: none"><li>✘ Contract Administration did not comply with the SCM policy by keeping all procurement related records of contracts in safe custody to prevent damage, destruction or unauthorised use or removal and disciplinary action is recommended.</li><li>✘ The Finance did not maintain the records to ensure the existence of an audit trail and disciplinary action is recommended.</li><li>✘ PRASA to implement and enforce a document retention and archiving policy relating to SCM information, which is in line</li></ul>

	with NT Regulations prescripts
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